

## Dual of $X_\phi$ -frames in Banach spaces

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### Abstract

Frames in Banach spaces with respect to some sequence space, namely  $X_d$ -frames were introduced and studied by Casazza et al [1]. Motivated by [1,15], in this paper, we study dual of  $X_\phi$ -frames and independent  $X_\phi$ -frame for Banach space. A necessary and sufficient condition for a  $X_\phi$ -Bessel sequence to be an independent  $X_\phi$ -frame have been given. Further, we proved that an independent  $X_\phi$ -frame with respect to model sequence space  $X_\phi$  must have a dual frame.

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### 1. INTRODUCTION

In 1952 the notion of frames in Hilbert spaces were first introduced by Duffin and Schaeffer [7] and reintroduced in 1986 by Daubechies, Grossman, and Mayer [6], and popularized from then on. Frames have many properties of bases but lacks a very important one, namely, uniqueness. This property of frames makes them very useful in the study of function spaces, signal and image processing, filter banks, wireless and communications etc.

Coifman and Weiss [5] introduced a concept, similar to that of frames, called atomic decompositions for function spaces. Later the concept of frames in Hilbert spaces was

extended to Banach spaces by Feichtinger and Gröchenig [8], who introduced the concept of atomic decompositions in Banach spaces. This concept was further generalized by Gröchenig [9], who introduced the notion of the Banach frames for Banach spaces. Frames in Banach spaces were further studied in [2,4]. In 2006, Sun [17] introduced the concept of  $g$ -frames for a Hilbert space. Recently, various generalization of frames in Banach spaces have been proposed in [3,4,10, 11,12,13,14, and 16].

Model space of sequences have been introduced and studied in [14]. In this paper, we study dual of  $X_\Phi$ -frames and independent  $X_\Phi$ -frame for Banach spaces. A necessary and sufficient condition for a  $X_\Phi$ -Bessel sequence to be an independent  $X_\Phi$ -frame have been given. Further, we proved that an independent  $X_\Phi$ -frame with respect to model sequence space  $X_\Phi$  must have a dual frame.

## 2. PRELIMINARIES

Throughout this paper,  $E$  will denote a Banach space over the scalar field  $\mathbb{K}$  ( $\mathbb{R}$  or  $\mathbb{C}$ ),  $E^*$  conjugate space of  $E$ ,  $L(E, F)$  will denote Banach space of all bounded linear operators from  $E$  into  $F$  and  $\text{ran}(T)$  is the range of  $T$ . The identity operator on  $E$  and kernel of  $T$  are denoted by  $I_E$  and  $\ker(T)$ , respectively. By a Banach sequence space (often called a BK space), we mean a Banach space of scalar valued sequences, indexed by  $\mathbb{N}$ , for which coordinate functionals are continuous.

**Definition 2.1 ([1]).** A sequence space  $X_d$  is called a BK-space, if it is a Banach space and the coordinate functional are continuous on  $X_d$ , i.e., the relations  $x_n = \{\alpha_j^{(n)}\}$ ,  $x = \{\alpha_j\} \in X_d$ ,  $\lim_{n \rightarrow \infty} x_n = x$  imply  $\lim_{n \rightarrow \infty} \alpha_j^{(n)} = \alpha_j$  ( $j=1,2,\dots$ ).

The theory of spaces of sequences of scalars admits a natural generalization to a vector sequence spaces [14]. If  $\Phi = \{G_n\}$  is a sequence of Banach spaces, a sequence space  $X_\Phi$  associated with  $\{G_n\}$  is a linear subspace of  $\prod_{n=1}^{\infty} G_n$  (the collection of all sequences  $\{y_n\}$  with  $y_n \in G_n$ ,  $n=1,2,\dots$ , endowed with product topology).

The coordinate transformations  $P_n : X_\Phi \rightarrow G_n$  are defined by

$$P_n(\{y_i\}) = y_n, \quad n=1,2,\dots$$

Then  $X_\Phi$  is called a generalized BK-space induced by  $\{G_n\}$  if  $X_\Phi$  is a Banach space and  $P_n$  is a continuous operator, for every  $n \in \mathbb{N}$ . The scalar BK-spaces containing all unit vectors  $e_n$  are generalized by the spaces  $X_\Phi$  containing all canonical subspaces

$$F_n = \{0\} \times \{0\} \times \dots \times \underbrace{\{0\} \times G_n \times \{0\} \times \dots}_{n^{\text{th}} \text{ place}} \times \dots \quad (G_n \neq \{0\}, n=1,2,\dots).$$

These  $F_n$ 's closed linear subspaces of  $X_\Phi$ . We refer to the space  $X_\Phi$  as a model space.

The following is the example of such type of a model space.

Let  $\Phi = \{G_n\}$  be a sequence of closed linear subspaces of a Banach space  $E$ . Consider the linear space  $X_\Phi$  of the system  $\Phi$ , that is, the space of all elements sequences

$y = \{y_n\}_{n=1}^\infty$  for which the series  $\sum_{n=1}^\infty y_n$  is convergent equipped with the norm

$$\|y\|_{X_\Phi} = \sup_{1 \leq n < \infty} \left\| \sum_{i=1}^n y_i \right\|_E, \quad y_n \in G_n (n=1,2,\dots). \quad (2.1)$$

Thus the space  $X_\Phi$  is complete with respect to (2.1). Indeed, clearly (2.1) define a norm on  $X_\Phi$ . Now, let  $\{y_n^{(k)}\}$  ( $k=1,2,\dots$ ) be a Cauchy sequence in  $X_\Phi$ . Then for every  $\varepsilon > 0$  there exists a positive integer  $\mathbb{N}(\varepsilon)$  such that

$$\left\| \{y_n^{(k)}\} - \{y_n^{(m)}\} \right\|_{X_\Phi} = \sup_{1 \leq n < \infty} \left\| \sum_{i=1}^n (y_i^{(k)} - y_i^{(m)}) \right\| < \varepsilon \quad (k, m > \mathbb{N}(\varepsilon)) \quad (2.2)$$

Then

$$\begin{aligned} \|y_n^{(k)} - y_n^{(m)}\| &\leq \left\| \sum_{i=1}^n (y_i^{(k)} - y_i^{(m)}) \right\| + \left\| \sum_{i=1}^{n-1} (y_i^{(k)} - y_i^{(m)}) \right\| \\ &< 2\varepsilon \quad (k, m > \mathbb{N}(\varepsilon); n=1,2,\dots), \end{aligned}$$

whence, since by our assumption each  $G_n$  is complete,  $\lim_{k \rightarrow \infty} y_n^{(k)} = y_n \in G_n$

( $n=1,2,\dots$ ). Hence, from the inequalities (2.2)

$$\left\| \sum_{i=1}^n (y_i^{(k)} - y_i^{(m)}) \right\| < \varepsilon \quad (k, m > \mathbb{N}(\varepsilon); n=1,2,\dots).$$

We obtain, for  $m \rightarrow \infty$ , we obtain

$$\left\| \sum_{i=1}^n (y_i^{(k)} - y_i) \right\| \leq \varepsilon \quad (k > \mathbb{N}(\varepsilon), n=1,2,\dots),$$

Then,  $\left\| \sum_{i=n+1}^{n+l} y_i \right\| \leq 2\varepsilon + \left\| \sum_{i=n+1}^{n+l} y_i^{(k)} \right\| \quad (k > \mathbb{N}(\varepsilon); n, l=1,2,\dots).$

Consequently, since each series  $\sum_{i=1}^\infty y_i^{(k)}$  converges and since  $E$  is complete, it follows

that  $\sum_{i=1}^\infty y_i$  converges, i.e.,  $\{y_n\} \in X_\Phi$ . Moreover, by the above we have

$$\left\| \{y_n^{(k)}\} - \{y_n\} \right\|_{X_\Phi} = \sup_{1 \leq n < \infty} \left\| \sum_{i=1}^n (y_i^{(k)} - y_i) \right\| \leq \varepsilon \quad (k > \mathbb{N}(\varepsilon)),$$

which shows that the space  $X_\Phi$  is complete with respect to this norm.

**Lemma 2.2.** Let  $\{G_n\}$  be a sequence of subspaces of  $E$  and  $\{v_n\} \subset L(E, G_n)$  be a sequence of operators  $\forall n \in \mathbb{N}$ . If  $\{v_n\}$  is total over  $E$ , then  $X = \{\{v_n(x)\} : x \in E\}$  is a Banach space with the norm given by  $\|\{v_n(x)\}\|_X = \|x\|_E, x \in E$ .

**Definition 2.3.[15]** Let  $E$  be a Banach space over  $\mathbb{F}$  and  $X_\Phi$  be a model space induced by  $\{G_n\}$ . For every  $n \in \mathbb{N}$ ,  $\{v_n\}$  be a sequence of bounded linear operator in  $L(E, G_n)$ . We say that the family  $T = \{v_n\}$  of bounded linear operator is a  $X_\Phi$ -Bessel sequence for  $E$  with respect to  $X_\Phi$  if there exists a positive constant  $B$  such that  $\|\{v_n(x)\}\|_{X_\Phi} \leq B\|x\|_E$ , for all  $x \in E$ .

Define  $B_T = \inf \left\{ B > 0 : \|\{v_n(x)\}\|_{X_\Phi} \leq B\|x\|_E, \forall x \in E \right\}$ .

We call  $B_T$  the Bessel bound of  $T$ . For any  $T = \{v_n\}$ , define  $R_T : E \rightarrow X_\Phi$  such that  $R_T(x) = \{v_n(x)\}$ , for all  $x \in E$ . Then, we call  $R_T$  is the analysis operator of  $T$ . Clearly, above definition implies that  $R_T \in L(E, X_\Phi)$ .

**Definition 2.4.[15]** Let  $\Phi = \{G_n\}$  be a sequence of non-trivial subspaces of a Banach space  $E$  and  $\{v_n : v_n \in L(E, G_n), \forall n \in \mathbb{N}\}$  be a sequence of linear operators (not necessarily projections). Let  $X_\Phi$  be a model space associated with  $E$ . Then, we say that  $(\{G_n\}, \{v_n\})$  is a  $X_\Phi$ -frame for  $E$  with respect to  $X_\Phi$  if

- (a)  $\{v_n(x)\} \in X_\Phi$ , for all  $x \in E$ ;
- (b) there exist constants  $A, B$  with  $0 < A \leq B < \infty$  such that

$$A\|x\|_E \leq \|\{v_n(x)\}\|_{X_\Phi} \leq B\|x\|_E, x \in E.$$

The positive constants  $A$  and  $B$ , respectively, are called lower and upper optimum bounds for the  $X_\Phi$ -frame  $(\{G_n\}, \{v_n\})$ .

Put  $A_T = \sup \left\{ A > 0 : A\|x\|_E \leq \|\{v_n(x)\}\|_{X_\Phi}, \forall x \in E \right\}$

and  $B_T = \inf \left\{ B > 0 : \|\{v_n(x)\}\|_{X_\Phi} \leq B\|x\|_E, \forall x \in E \right\}$ .

These constants  $A_T, B_T$  are called lower and upper optimum bounds of  $T = \{v_n\}$ .

### 3. MAIN RESULTS

In this section, we will study and discuss about dual of  $X_\Phi$ -frames for Banach space  $E$  with respect to  $X_\Phi$ . Let  $X_\Phi$  be a model space induced by  $\{G_n\}$ .

Consider the sequence space

$$\mathcal{T}(\{G_n^*\}) = \left\{ \{y_n^*\} \in \prod_{n=1}^{\infty} G_n^* : \sum_{n=1}^{\infty} y_n^*(y_n) \text{ converges, } \forall \{y_n\} \in X_\Phi \right\}.$$

This is a Banach space equipped with the following norm

$$\|\{y_n^*\}\| = \sup_{\substack{\|\{y_i\}\| \leq 1 \\ \{y_i\} \in X_\Phi}} \sup_{1 \leq n < \infty} \left\| \sum_{i=1}^n \langle y_i^*, y_i \rangle \right\|, \quad \forall \{y_n^*\} \in \mathcal{T}(\{G_n^*\}).$$

Then,  $\mathcal{T}(\{G_n^*\})$  is an isomorphic to the dual of  $X_\Phi$  under the mapping  $\{y_n^*\} \rightarrow h$ , where

$$h(\{y_n\}) = \sum_{n=1}^{\infty} y_n^* y_n, \quad \{y_n\} \in X_\Phi, \quad h \in X_\Phi^*.$$

Thus, the space  $X_\Phi^*$  is also a generalized  $BK$ -space.

Hence, for any  $\{y_n\} \in X_\Phi$  and  $\{y_n^*\} \in X_\Phi^*$ , we have  $\langle \{y_n^*\}, \{y_n\} \rangle = \sum_{n=1}^{\infty} y_n^* y_n$ .

**Definition 3.1.** Let  $T = \{v_n\}$  be a  $X_\Phi$ -frame for  $E$  with respect to  $X_\Phi$  and  $Q = \{u_n\}$  be a  $X_\Phi^*$ -frame for  $E^*$  with respect to  $X_\Phi^*$ . If these two  $X_\Phi$ -frames satisfy the following conditions:

$$x = \sum_{n=1}^{\infty} u_n^* v_n x, \quad \forall x \in E. \quad (3.1)$$

$$x^* = \sum_{n=1}^{\infty} v_n^* u_n x^*, \quad \forall x^* \in E^*. \quad (3.2)$$

Then, we call  $(T, Q)$  is a pair of dual frames for  $E$ . Here, one of them is called a dual frame of others. Let  $T = \{v_n\}$  be a  $X_\Phi$ -Bessel sequence for  $E$  with respect to  $X_\Phi$  and  $R_T$  be an analysis operator of  $T = \{v_n\}$ . Then, we have  $R_T(x) = \{v_n(x)\}$ ,  $\forall x \in E$ .

It is easy to see that adjoint operator  $R_T^*$  of  $R_T$  can be defined as follows;

$$R_T^* : X_\Phi^* \rightarrow E^*, \text{ such that } R_T^* \left( \{y_n^*\} \right) = \sum_{n=1}^{\infty} v_n^* y_n^*, \quad \forall \{y_n^*\} \in X_\Phi^*.$$

Indeed, suppose that  $R_T$  and  $R_Q$  are the analysis operators of  $T = \{v_n\}$  and  $Q = \{u_n\}$  respectively, then from (3.1) and (3.2) we find that

$$R_Q^* R_T x = R_Q^* \left( \{v_n(x)\} \right) = \sum_{n=1}^{\infty} u_n^* v_n x = x = I_E x, \quad \forall x \in E. \Rightarrow R_Q^* R_T = I_E.$$

Similarly, we find that  $R_T^* R_Q x^* = R_T^* \left( \{u_n(x^*)\} \right) = \sum_{n=1}^{\infty} v_n^* u_n x^* = x^* = I_{E^*} x^*, \quad \forall x^* \in E^*.$

**Definition 3.2.** A family  $T = \{v_n\}$  of operators, where  $v_n \in L(E, G_n) \forall n \in \mathbb{N}$ , is said to be an independent, if the following condition is satisfied:

$$\sum_{n=1}^{\infty} v_n^* x_n^* = 0, \{x_n^*\} \in X_{\Phi}^* \Rightarrow x_n^* = 0, \forall n \in \mathbb{N}.$$

Here, we give the necessary and sufficient condition for a  $X_{\Phi}$ -Bessel sequence to be an independent  $X_{\Phi}$ -frame.

**Theorem 3.3.** Let  $T = \{v_n\}$  be a  $X_{\Phi}$ -Bessel sequence for  $E$  with respect to  $X_{\Phi}$ . Then,  $\{v_n\}$  is an independent  $X_{\Phi}$ -frame if and only if its analysis operator  $R_T$  is invertible.

**Proof.** Assume that  $\{v_n\}$  is an independent  $X_{\Phi}$ -frame. Then, we have

$$\sum_{n=1}^{\infty} v_n^* x_n^* = 0, \{x_n^*\} \in X_{\Phi}^* \Rightarrow x_n^* = 0, \forall n \in \mathbb{N}.$$

In order to show that  $R_T$  is invertible. We first to show that  $R_T^*$  is an injective.

So let  $\{x_n^*\} \in \ker(R_T^*) \Rightarrow R_T^*(\{x_n^*\}) = 0$ . This gives  $\sum_{n=1}^{\infty} v_n^* x_n^* = 0$ . (By definition of  $R_T^*$ )

Hence,  $x_n^* = 0, \forall n \in \mathbb{N}$ . Thus,  $\ker(R_T^*) = \{0\} \Rightarrow R_T^*$  is injective.

Now, we see that  $\overline{\text{ran}(R_T)} = (\ker(R_T^*))^{\perp} = \{0\}^{\perp} = X_{\Phi}$ . Therefore, range of  $R_T$  is dense in  $X_{\Phi}$ .

In addition, from the definition of  $X_{\Phi}$ -frames, we know that  $R_T$  is bounded below and  $\text{ran}(R_T)$  is closed. Hence  $R_T$  is invertible.

Conversely, let us assume that  $R_T$  is invertible. Then  $R_T$  is bounded below. Thus,  $\{v_n\}$  is a  $X_{\Phi}$ -frame. In order to prove that  $\{v_n\}$  is an independent  $X_{\Phi}$ -frame let, if possible,  $\{v_n\}$  is not an independent  $X_{\Phi}$ -frame. Then, there exists a non-zero

sequence  $\{y_n^*\} \subset X_{\Phi}^*$  (assume  $n_0 \in \mathbb{N}, y_{n_0}^* \neq 0$ ) such that  $v_{n_0}^* y_{n_0}^* + \sum_{n \neq n_0}^{\infty} v_n^* y_n^* = 0$ .

$$v_{n_0}^* y_{n_0}^* = - \sum_{n \neq n_0}^{\infty} v_n^* y_n^*. \quad (3.3)$$

Since  $y_{n_0}^* \neq 0$ , there exists  $y_{n_0} \in G_{n_0}$  such that  $y_{n_0}^*(y_{n_0}) \neq 0$ .

Again, since  $R_T$  is invertible, there is  $x \in E$  such that

$$R_T(x) = \{\delta_{nn_0} y_n\}, \text{ i.e., } v_n(x) = \delta_{nn_0} y_n, \quad \forall n \in \mathbb{N}.$$

From L.H.S. of (3.3), we have

$$\langle v_{n_0}^* y_{n_0}^*, x \rangle = y_{n_0}^* (v_{n_0}(x)) \neq 0.$$

Thus, we get

$$\langle v_{n_0}^* y_{n_0}^*, x \rangle \neq 0. \quad (3.4)$$

Again, from R.H.S. of (3.3), we have

$$\begin{aligned} \left\langle -\sum_{n \neq n_0}^{\infty} v_n^* y_n^*, x \right\rangle &= -\sum_{n \neq n_0}^{\infty} \langle v_n^* y_n^*, x \rangle = -\sum_{n \neq n_0}^{\infty} \langle y_n^*, v_n x \rangle \\ &= -\sum_{n \neq n_0}^{\infty} y_n^* (v_n x) = -\sum_{n \neq n_0}^{\infty} y_n^* (\delta_{nn_0} y_n) = 0. \end{aligned}$$

Thus, we get

$$\left\langle -\sum_{n \neq n_0}^{\infty} v_n^* y_n^*, x \right\rangle = 0. \quad (3.5)$$

Therefore, from (3.4) and (3.5). We get a contradiction. Hence,  $\{v_n\}$  is an independent  $X_\Phi$ -frame.

In the next result, we have proved that an independent  $X_\Phi$ -frame with respect to model sequence space  $X_\Phi$  must have a dual frame.

**Theorem 3.4.** An independent  $X_\Phi$ -frame  $\{v_n\}$  for  $E$  with respect to  $X_\Phi$  must have a dual frame.

**Proof.** Let  $T = \{v_n\}$  be an independent  $X_\Phi$ -frame for  $E$  with respect to  $X_\Phi$ . Then from Theorem 3.3, its analysis operator  $R_T$  is invertible and hence  $R_T^*$  is invertible.

For any  $n \in \mathbb{N}$ , put  $u_n = P_n R_T^{*-1}$ , where  $P_n$  is the coordinate operator on  $X_\Phi^*$ . Then,  $u_n \in L(E^*, G_n^*)$ ,  $\forall n \in \mathbb{N}$  and for any  $x^* \in E^*$ , there exists a sequence  $\{y_n^*\} \in X_\Phi^*$  such that

$$x^* = \sum_{n=1}^{\infty} v_n^* y_n^*.$$

So,

$$\{u_n(x^*)\} = \{P_n R_T^{*-1}(x^*)\} = \{P_n(\{y_n^*\})\} = \{y_n^*\} \in X_\Phi^*$$

and for all  $x^* \in E^*$ , we have

$$\|x^*\| = \|R_T^{*-1} R_T^*(x^*)\| \leq \|R_T^{*-1}\| \|R_T^*(x^*)\| \leq \|R_T^{*-1}\| \|R_T^*\| \|x^*\|.$$

Therefore,

$$\frac{\|x^*\|}{\|R_T^*\|} \leq \left\| \{u_n(x^*)\} \right\|_{X_\Phi^*} = \left\| \{P_n R_T^{*-1}(x^*)\} \right\|_{X_\Phi^*} = \left\| \{R_T^{*-1}(x^*)\} \right\|_{X_\Phi^*} \leq \|R_T^{*-1}\| \|x^*\|.$$

Hence,  $Q = \{u_n\}$  is a  $X_\Phi^*$ -frame for  $E^*$  with respect to  $X_\Phi^*$ .

For any  $x \in E$  and  $x^* \in E^*$ , we have

$$\begin{aligned} \left\langle \sum_{n=1}^{\infty} v_n^* u_n x^*, x \right\rangle &= \sum_{n=1}^{\infty} \langle v_n^* u_n x^*, x \rangle = \sum_{n=1}^{\infty} \langle u_n x^*, v_n x \rangle \\ &= \sum_{n=1}^{\infty} \langle P_n R_T^{*-1} x^*, v_n x \rangle = \sum_{n=1}^{\infty} \langle P_n(\{y_n^*\}), v_n x \rangle \\ &= \sum_{n=1}^{\infty} \langle y_n^*, v_n x \rangle = \left\langle \sum_{n=1}^{\infty} v_n^* y_n^*, x \right\rangle = \langle x^*, x \rangle. \end{aligned}$$

And

$$\begin{aligned} \left\langle x^*, \sum_{n=1}^{\infty} u_n^* v_n x \right\rangle &= \sum_{n=1}^{\infty} \langle u_n x^*, v_n x \rangle \\ &= \sum_{n=1}^{\infty} \langle P_n R_T^{*-1} x^*, v_n x \rangle = \sum_{n=1}^{\infty} \langle P_n(\{y_n^*\}), v_n x \rangle \\ &= \sum_{n=1}^{\infty} \langle y_n^*, v_n x \rangle = \left\langle \sum_{n=1}^{\infty} v_n^* y_n^*, x \right\rangle = \langle x^*, x \rangle. \end{aligned}$$

From above, we must have  $x = \sum_{n=1}^{\infty} u_n^* v_n x$ ,  $\forall x \in E$ , and  $x^* = \sum_{n=1}^{\infty} v_n^* u_n x^*$ ,  $\forall x^* \in E^*$ .

This show that  $Q = \{u_n\}$  is a dual frame of  $T = \{v_n\}$ , i.e.,  $(T, Q)$  is called a pair of dual frames for  $E$ .

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