

## K-Extensibility in Graph with Unique Maximum Independent Set

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### Abstract

Let  $G$  be a simple graph. An independent set  $S$  of  $G$  of cardinality  $k$  is said to be extendable if  $S$  is contained in a maximum independent set of  $G$ . If  $S$  is a maximal independent set of cardinality  $k$  and  $S$  is not a maximum independent set of  $G$ , then  $S$  is not extendable.  $G$  is said to be completely extendable if every independent set of  $G$  is contained in a maximum independent set of  $G$ . In such a case  $G$  is also called a well covered graph.  $G$  is said to be trivially extendable if no independent set of cardinality less than  $\beta_0(G)$  is extendable. In [9, 10]  $k$ -extendable graphs are studied. In this paper we consider graphs with unique maximum independent set and study extendability in such graphs. Also  $k$ -extendability with specific exceptions is also considered.

**Keywords:** Berge graph,  $k$ - extendability, well-covered graphs.

### 1. Introduction:

A set of vertices in a graph is independent if no two vertices in the set are joined by an edge. The maximum size of a set of independent vertices in a graph  $G$  is called the independence number of  $G$  and is denoted by  $\beta_0(G)$ . A set of independent vertices which attains the maximum size is referred to as a maximum independent set. A set  $S$  of independent vertices in a graph is maximal (with respect to set inclusion) if the addition to  $S$  of any other vertex in the graph destroys the independence. A maximal independent set in a graph is not necessarily maximum.

A simple graph  $G$  is called a Berge graph if every vertex of the graph is contained in a maximum independent set of the graph. Claude Berge introduced this concept in

1980. This led to the idea of  $k$ - extendability and well-covered graphs. Many papers have come out on well-covered graphs. A survey by Plummer in 1993 gives a good account of the results derived in well-covered graphs. Connected, well-covered, bipartite graphs were characterized by Ravindra.

A simple graph is said to be well covered if it has no isolated vertex and if  $\beta_0(G) = i(G)$ , that is every maximal independent set is maximum. This notion has been introduced by Plummer in 1970[5] and studied for bipartite graphs by Ravindra in 1977[6] and also by Berge[1]. We call a graph  $k$ -extendable if every independent set of size  $k$  is contained in a maximum independent set. This generalizes the concept of a B-graph ((i.e) 1-extendable graph) introduced by Berge and the concept of a well-covered graph. A fair amount of study has been devoted to B-graphs, for example see [1, 2, 7]. In general, there is no connection between  $k$ -extendability and  $j$ -extendability for  $k \neq j$ , and there are graphs which are not well-covered and which are  $k$ -extendable for given values of  $k$ . Also  $k$ -extendability does not imply  $(k-1)$ -extendability (or) vice versa.

## 2. $k$ -extendable graphs

### Definition :1

Let  $G = (V, E)$  be a simple graph. Let  $k$  be a positive integer,  $G$  is said to be  $k$ -extendable if every independent set of cardinality  $k$  in  $G$  is contained in a maximum independent set of  $G$ .

Example 1 In  $C_6$  with vertex set  $\{u_1, u_2, u_3, u_4, u_5, u_6\}$ .  $\{u_1, u_3, u_5\}$  and  $\{u_2, u_4, u_6\}$  are maximum independent sets.  $\beta_0(G) = 3$ .  $C_6$  is 1-extendable but not 2-extendable.

### Definition 2

- i.  $G$  is said to be trivially extendable if  $G$  is  $k$ -extendable only for  $k = \beta_0(G)$ . For example,  $P_5$  is trivially extendable .
- ii. A graph  $G$  is said to be completely extendable if  $G$  is  $k$ -extendable for every  $k$ ,  $1 \leq k \leq \beta_0(G)$ . For example,  $C_4$  is completely extendable.

### Definition 3

A graph  $G$  is said to be well covered if every maximal independent set of  $G$  is a maximum independent set of  $G$ .

### Definition 4

Let  $S$  be an independent set of  $G$ . A vertex  $u$  in  $V - S$  is called a public neighbor with respect to  $S$ , if  $u$  is adjacent to at least two vertices in  $S$ . Let  $S = \{u_1, u_2, \dots, u_k\}$  be an independent set of  $G$ . If  $u \in V - S$  is a public neighbor with respect to  $S$  and  $u$  is adjacent with  $u_{i_1}, u_{i_2}, \dots, u_{i_r}$  in  $S$ ,  $r \geq 2$  then  $(S - \{u_{i_1}, u_{i_2}, \dots, u_{i_r}\}) \cup \{u\}$  is an independent set of cardinality  $(k - r + 1) < k$ .

**Definition 5**

Let  $S$  be an independent set of  $G$ . Let  $u \in V - S$  be a public neighbor with respect to  $S$ . The public neighbor of  $u$  with respect to  $S$  is defined as  $pbl(u, S) = \{x \in S : u \text{ and } x \text{ are adjacent}\} = N(u) \cap S$ .  $|pbl(u, S)|$  is called public neighbor count of  $u$  with respect to  $S$ .

**Theorem 1**

Let  $G$  be a simple graph with a unique maximum independent set say  $S$ . Let  $t = \max\{|pbl(u, S)| : u \in V - S\}$ . Then  $G$  is not  $k$ -extendable for  $k = \beta_0(G) - t + 1$ .

**Proof.**

Let  $S = \{u_1, u_2, \dots, u_{\beta_0}\}$ . Let  $v \in V - S$  be such that  $|pbl(v, S)| = t$ .

Let  $pbl(v, S) = \{u_{i_1}, u_{i_2}, \dots, u_{i_t}\} \subset S$ . Let  $T = (S - pbl(v, S)) \cup \{v\}$ .  $|T| = \beta_0(G) - t + 1$ . Since  $v \in T$  and  $v \in V - S$ ,  $T$  is not a subset of  $S$ . Therefore for the integer  $k = \beta_0(G) - t + 1$ , there exists an independent set namely  $T$ , which is not extendable to the unique maximum independent set  $S$  of  $G$ . Hence the proof.

**Remark 1**

With the hypothesis of the above theorem,  $G$  is not  $k$ -extendable, for  $1 \leq k \leq \beta_0(G) - t + 1$ .

**Theorem 2**

Let  $G$  be a graph with a unique maximum independent set say  $S$ . Let  $T$  be a maximal independent set of  $G$  of cardinality  $t$ , ( $t \neq \beta_0$ ). Let  $T = \{u_1, u_2, \dots, u_t\}$ . Let  $t_i = |pn(u_i, T)| \geq 1, \forall i$ . Then  $G$  is not  $k$ -extendable for  $k = t, t + t_i - 1$  except for at most one  $i, 1 \leq i \leq t$ .

**Proof.**

Since  $G$  has a unique maximum independent set and  $T$  is a maximal independent set of  $G$  of cardinality  $t$ ,  $T$  is not extendable. Therefore  $G$  is not  $k$ -extendable for  $k = t$ . Let  $u_i \in T$ . Let  $T_i = pn(u_i, T)$ . Then  $(T - \{u_i\}) \cup T_i$  is a maximal independent set of  $G$ .

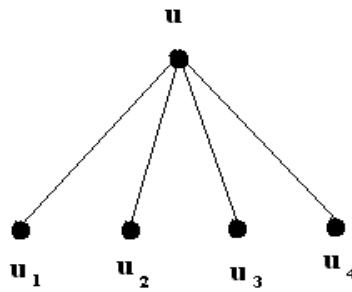
For: Suppose  $T' = (T - \{u_i\}) \cup T_i$  is not maximal. Let  $x \in V - T'$  be such that  $T' \cup \{x\}$  is independent. If  $x = u_i$ , then  $(T - \{x\}) \cup T_i = (T - \{u_i\}) \cup T_i$ ,  $T' \cup \{x\} = T \cup T_i$  is not independent, since  $u_i \in T$  and  $|T_i| \geq 1$ , a contradiction. Then  $x$  is not adjacent with every  $u_j \in T, j \neq i$  and  $x$  is not adjacent with any element of  $T_i$ . Since,  $x \notin T_i$ ,  $x$  is not a private neighbor of  $u_i$ . Suppose  $x$  is a neighbour of  $u_i$ . Since  $x$  is not adjacent with every  $u_i \in T, j \neq i$ ,  $x$  is a private

neighbor of  $u_i$  with respect to  $T$  a contradiction. Therefore  $x$  is not adjacent to  $u_i$ . Therefore  $T \cup \{x\}$  is an independent set of  $G$ , a contradiction, since  $T$  is a maximal independent set.

Suppose  $(T - \{u_i\}) \cup T_i$  is extendable. Then as  $(T - \{u_i\}) \cup T_i$  is maximal,  $(T - \{u_i\}) \cup T_i$  coincides with the unique maximum independent set  $S$ . Therefore  $T - \{u_i\}$  is a subset of  $S$ . If  $T - \{u_i\}$ ,  $T - \{u_j\}$  are subsets of  $S$ , where  $i \neq j$ , then  $T \subset S$ , a contradiction. Therefore for atmost one  $u_i$ ,  $(T - \{u_i\}) \cup T_i = S$ . Therefore  $G$  is not  $k$ -extendable for  $k = t, t + t_j - 1$ ,  $j \neq i, 1 \leq j \leq t$ .

### Example 2

Let  $G$ :



$S = \{u_1, u_2, u_3, u_4\}$  is the unique maximum independent set of  $G$ . Let the maximal independent Set  $T = \{u\}$ .  $|T| = 1$ .  $pn(u, T) = \{u_1, u_2, u_3, u_4\}$  and  $t_i = |pn(u_i, T)| = 4$ .  $(T - \{u\}) \cup pn(u, T) = \{u_1, u_2, u_3, u_4\} = S$ . Therefore  $G$  is not  $k$ -extendable for  $k = 1$ . That is  $G$  is not  $k$ -extendable for  $k = 1, t + t_i - 1$ , for all except one  $i$ . since  $T$  contains only one element,  $G$  is not  $k$ -extendable for  $k = 1$ .

### Theorem 3

Let  $u, v \in V(G)$  be such that any maximum independent set of  $G$  does not contain both  $u$  and  $v$ . Suppose there exists an independent set of  $G$  of cardinality  $(\beta_0(G) - 1)$  containing  $u$  (or)  $v$ . Then  $G$  is trivially  $k$ -extendable. i.e.,  $G$  is not  $k$ -extendable, for  $1 \leq k \leq (\beta_0(G) - 1)$ .

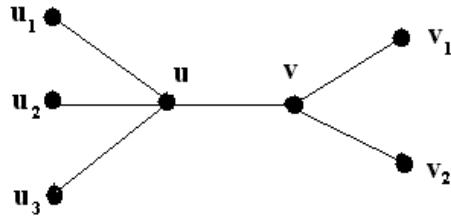
### Proof.

Let  $S$  be an independent set of  $G$  of cardinality  $(\beta_0(G) - 1)$  containing  $u$ . Let  $S = \{v_1, v_2, \dots, v_t\}$ , where  $t = (\beta_0(G) - 1)$ . Let without loss of generality  $v_1 = u$ . Then,  $S - \{v_2\}$ ,  $S - \{v_2, v_3\}$ ,  $\dots$ ,  $S - \{v_2, v_3, \dots, v_t\}$  are independent sets of  $G$  containing  $u$ . Therefore these sets are not contained in any maximum independent

set of  $G$ . Therefore  $G$  is not  $k$ -extendable for  $1 \leq k \leq (\beta_0(G) - 1)$ .

**Example 3**

Let  $G$ :



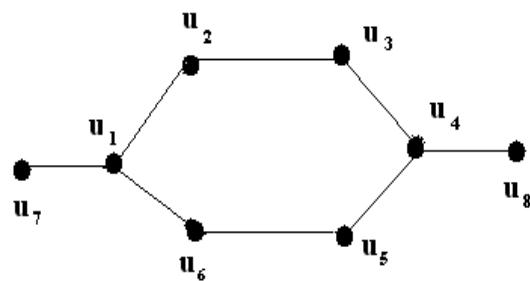
Consider the double star  $D_{3,2}$ . Let  $u$  and  $v$  be the centers. Let  $u_1, u_2, u_3$  be adjacent with  $u$  and  $v_1, v_2$  be adjacent with  $v$  in  $D_{3,2}$ .  $D_{3,2}$  contains a unique maximum independent set namely  $\{u_1, u_2, u_3, v_1, v_2\}$ .  $u$  and  $v$  are not contained in this unique  $\beta_0$ -set.  $\{u_1, u_2, u_3, v\}$  is a  $(\beta_0(D_{3,2}) - 1)$ -set of  $D_{3,2}$  which is independent and this set contains  $v$ .  $D_{3,2}$  is not  $k$ -extendable for  $1 \leq k \leq 4$  since,  $\{u\}, \{u, v_2\}, \{u, v_1, v_2\}, \{v, u_1, u_2, u_3\}$  are independent sets of  $D_{3,2}$  which are not extendable.

In fact,  $D_{r,2}$  where  $r \geq 2$  is also an example.

**Example 4**

There are graphs in which  $2 \leq i(G) < \beta_0(G)$  such that  $G$  is not  $k$ -extendable only for  $k = i(G)$ .

Let  $G$ :



$i(G) = 2$  and  $\beta_0(G) = 4$ .  $\{u_1, u_4\}$  is the unique  $i(G)$ -set.  $\{u_2, u_4, u_6, u_7\}, \{u_1, u_3, u_5, u_8\}, \{u_3, u_6, u_7, u_8\}, \{u_2, u_5, u_7, u_8\}, \{u_2, u_6, u_7, u_8\}, \{u_3, u_5, u_7, u_8\}$  are

maximum independent sets.

$G$  is 1-extendable, 3-extendable but not 2-extendable.

**Theorem 4**

If  $i(G) = \beta_0(G)$ , then  $G$  is  $k$ -extendable for all  $k$ ,  $1 \leq k \leq \beta_0(G)$ .

**Proof.**

Let  $G$  be not  $k$ -extendable for some  $k$ , say  $k_1$ ,  $1 \leq k_1 \leq \beta_0(G)$ . Let  $S$  be a maximal independent set of  $G$  of cardinality  $k_1$  such that  $S$  is not extendable. Therefore  $S$  is an independent dominating set of  $G$  with  $|S| < \beta_0(G) = i(G)$ , a contradiction since,  $i(G)$  is independent dominating number of  $G$ . Therefore  $G$  is  $k$ -extendable for all  $k$ ,  $1 \leq k \leq \beta_0(G)$ .

**Theorem 5**

Let  $G$  be a simple graph in which  $\beta_0(G) = (i(G) + 1)$ . Suppose  $G$  is  $(i(G) - 1)$ -extendable then  $G$  is  $k$ -extendable for all  $k$ ,  $1 \leq k \leq (i(G) - 1)$ .

**Proof.**

Let  $S$  be an independent set of  $G$  of cardinality  $k$ ,  $1 \leq k \leq (i(G) - 1)$ .  $S$  is not a maximal independent set since  $i(G) > |S|$ . Therefore  $S$  is properly contained in a maximal independent set say  $T$ . Clearly,  $|T| \geq i(G)$ . If  $|T| > i(G)$ , then  $|T| = \beta_0(G)$ . Therefore  $S$  is extendable. Suppose  $|T| = i(G)$ . Consider  $T_1 = T - \{u\}$ , where  $u \notin S$ .  $T_1$  is an independent set of cardinality  $(i(G) - 1)$  and  $S \subset T_1$ .  $T_1$  is extendable by hypothesis and hence  $S$  is extendable. Hence the theorem.

**Theorem 6**

Let  $G$  be a simple graph which is not well covered. Suppose  $G$  is  $k$ -extendable for all  $k \leq \beta_0(G) - 2$ . Then  $i(G) + 1 = \beta_0(G)$ .

**Proof.**

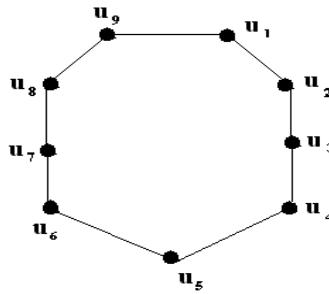
Let  $G$  be extendable for all  $k \leq i(G) - 2$ . If  $i(G) = \beta_0(G)$ , then  $G$  is  $(\beta_0(G) - 1)$ -extendable. For:

Let  $S$  be an independent set of cardinality  $(i(G) - 1)$ .  $S$  is not a maximal independent set, since  $|S| < i(G)$ . Therefore  $S$  is contained in a maximal independent set of cardinality  $> |S|$ . Since  $i(G) = \beta_0(G)$ , any super set of  $S$  which is independent is of cardinality  $i(G) = \beta_0(G)$ . That is  $S$  is contained in a maximum independent set. Therefore  $G$  is well covered, a contradiction. Therefore  $i(G) < \beta_0(G)$ . That is  $i(G) \leq \beta_0(G) - 1$ . By hypothesis,  $G$  is  $k$ -extendable for all  $k \leq \beta_0(G) - 2$ . If

$i(G) \leq \beta_0(G) - 2$ , then  $G$  is  $i(G)$ -extendable, a contradiction, since,  $i(G) < \beta_0(G)$ . Therefore  $i(G) > \beta_0(G) - 2$ . That is  $i(G) \geq \beta_0(G) - 1$ . But  $i(G) \leq \beta_0(G) - 1$ . Therefore  $i(G) = \beta_0(G) - 1$ . That is  $i(G) + 1 = \beta_0(G)$ .

### Example 5

Let  $G = C_9$



$i(G) = 3$  and  $\beta_0(G) = 4$ .  $\{u_1, u_4, u_7\}$  is an  $i(G)$ -set.  $\{u_1, u_3, u_5, u_7\}$ ,  $\{u_2, u_4, u_6, u_8\}$ ,  $\{u_1, u_3, u_5, u_8\}$ ,  $\{u_2, u_4, u_6, u_9\}$ ,  $\{u_1, u_4, u_6, u_8\}$ ,  $\{u_2, u_5, u_7, u_9\}$ ,  $\{u_1, u_3, u_6, u_8\}$ ,  $\{u_3, u_5, u_7, u_9\}$ ,  $\{u_2, u_4, u_7, u_9\}$  are maximum independent sets. Clearly,  $G$  is 1-extendable, 2-extendable but not 3-extendable, since  $\{u_1, u_4, u_7\}$  is not contained in any maximum independent sets of  $C_9$ .

Therefore  $G$  is  $k$ -extendable for  $1 \leq k \leq 2$ . i.e.,  $G$  is  $k$ -extendable for  $1 \leq k \leq (\beta_0(G) - 2)$ .

Here  $i(G) + 1 = \beta_0(G)$ .

### Theorem 7

Let  $G$  be a graph.  $G$  is  $k$ -extendable for all  $k$  except  $k = (\beta_0(G) - 1)$  iff  $i(G) + 1 = \beta_0(G)$  and  $G$  is  $(\beta_0(G) - 2)$ -extendable.

### Proof.

Suppose  $i(G) + 1 = \beta_0(G)$  and  $G$  is  $(\beta_0(G) - 2)$ -extendable. Let  $1 \leq k \leq \beta_0(G) - 3$ . Let  $S$  be an independent set of cardinality  $k$ . Since  $k < i(G)$ ,  $S$  is not maximal. Therefore  $S$  is properly contained in a maximal independent set say  $T$ . Since  $T$  is maximal  $|T| \geq i(G)$ . Suppose  $|T| = i(G) = (\beta_0(G) - 1)$ . Let  $u \in T - S$ . Let  $T_1 = T - \{u\}$ . Then  $T_1$  is an independent set of cardinality  $(\beta_0(G) - 2)$ . Therefore  $T_1$  is contained in a  $\beta_0$ -set of  $G$ . Since  $S \subseteq T_1$ ,  $S$  is contained in a  $\beta_0(G)$ -set of  $G$ . If  $|T| > i(G)$ , then  $|T| = \beta_0(G)$ . Therefore  $S$  is contained in a maximum independent set of  $G$ . i.e.,  $G$  is  $k$ -extendable for all  $k$ , except  $k = (\beta_0(G) - 1)$ .

Conversely, Suppose  $G$  is  $k$ -extendable for all  $k$ , except  $k = (\beta_0(G) - 1)$ . Then clearly  $G$  is  $(\beta_0(G) - 2)$ -extendable  $i(G) < \beta_0(G)$  and  $i(G) \geq (\beta_0(G) - 1)$ . Therefore  $i(G) = (\beta_0(G) - 1)$ .

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