

## Direct Permutation Graphs

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### Abstract

Using Permutations of a finite set, a new graph was constructed by Koh and Ree (see [1]). In this new paper, a variation of this graph is constructed by the author which is called 'Direct Permutation Graph'. It is explained in this paper that these two graphs are indeed different. Also some basic, interesting properties are being obtained.

**Key words :** Permutation Graph , Direct Permutation Graph & Connected Components

**AMS Subject Classification :** 05C30

### Introduction:

Permutation graphs are well studied in the past decade or so. This study perhaps originated from the study of 'perfect graphs' (chromatic numbers and clique numbers coincide for the graph and all its induced sub graphs). They have, for example, several applications in air traffic control. We have invented a new graph based on

similar construction of permutation graphs, which can be termed a "Direct Permutation Graph". Some interesting fundamental properties of the direct permutation graphs are studied in this paper.

## 2. Permutation graphs

First, we describe permutation graphs, highlighting some results (without proofs, but with references) which have the platform for Direct Permutation Graphs in the next section.

### 2.1 Definition:

For any positive integer  $n$ , we denote by  $[n]$  the set  $\{1, 2, \dots, n\}$ . Let  $\pi$  be a permutation of the set  $[n]$ , which is thus an element of the symmetric group  $S_n$  which contains  $n!$  elements.

We sometimes write  $\pi = \pi(1)\pi(2) \dots \pi(n)$  (the image integers are put in an ordered sequence even though this is not the best notation) or in terms of the (unique) cycle decomposition of  $\pi$  (which is standard).

The permutation graph  $G_\pi = (V, E)$  corresponding to  $\pi$  is defined as follows :  $V = [n]$  and  $E = \{\pi(i) \pi(j) \mid \text{if and only if } i \text{ and } j \text{ satisfy the conditions } i < j \Rightarrow \pi(i) > \pi(j)\}$

This is equivalent to :  $ij \in E \Leftrightarrow (i - j)(\pi(i)^{-1} - \pi(j)^{-1}) < 0$

But we prefer to follow the first formulation. Clearly  $G_\pi$  is a finite, simple, undirected graph.

Koh and Ree in [1] found some interesting properties of the graph  $G_\pi$ . We shall recall some of them, without proof, in order to compare similar situations in our new graph.

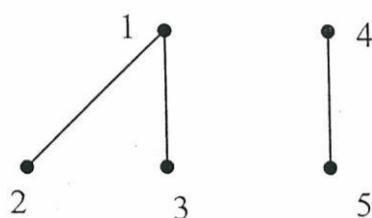
### 2.2 Proposition

- i)  $G_\pi$  is the null graph if and only if and only if  $\pi = \text{identity}$
- ii)  $G_\pi$  is the complete graph  $K_n$  if and only if  $\pi = n(n-1)\dots 2.1$ .

### 2.3 An example :

$n = 5$  and  $\pi = 23154$ .

Then  $G_\pi$  is the following graph.



## 2.4 Proposition

i) The complement graph  $\overline{G_\pi}$  of  $G_\pi$  is also a permutation graph.

In fact,  $\overline{G_\pi} = G_\sigma$  where  $\sigma$  is the permutation defined by  $\sigma(i) = \pi(n-i+1)$  for

$$i = 1, 2, \dots, n.$$

ii)  $G_\pi$  and  $G_{\pi^{-1}}$  are isomorphic.

## 3. Connected permutation graphs $G_\pi$

### 3.1 Proposition:

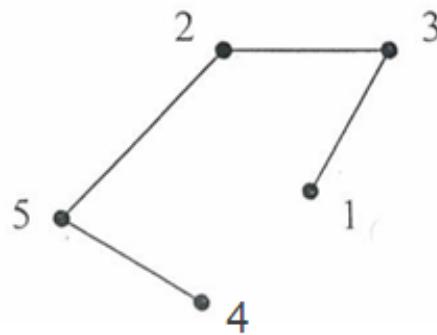
Let  $\pi \in S_n$  such that  $n$  comes ahead of  $1$  in the arrangement

$\pi(1), \pi(2), \dots, \pi(n)$ . Then  $G_\pi$  is connected.

### 3.2 Remark:

The above condition is not necessary for the connectivity of  $G_\pi$

For instance,  $\pi = 31524$ . (It is to be noted that  $5$  comes after  $1$ ) The graph  $G_\pi$  is drawn below which is connected.



### 3.3 Proposition:

Let  $\pi = \pi(1) \pi(2) \dots \pi(n) \in S_n$ . Then  $G_\pi$  is disconnected if and only if there exists  $i < n$  such that  $\{\pi(1), \pi(2), \dots, \pi(i)\} = \{1, 2, \dots, i\}$  and  $\{\pi(i+1), \pi(i+2), \dots, \pi(n)\} = \{i+1, i+2, \dots, n\}$ .

## 4. Partitions vis-a-vis $G_\pi$ .

### 4.1 Definition:

A partition  $\lambda$  of  $n$  is a set of position numbers whose sum is  $n$ ; in notation  $\lambda \mapsto n$ .

We usually write  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_r)$  with  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_r = 1^{w_1} 2^{w_2} \dots n^{w_n}$  where  $w_i$  is the number of occurrences of part  $i$  in  $\lambda$ .

A composition of a number  $n$  is an ordered partition of positive numbers whose sum is  $n$ , denoted by  $\lambda \vdash n$ . One can easily check that the number of partitions and compositions of 4 are respectively 5 and 8.

Let  $w_\lambda$  be the number of different compositions by rearrangement of the parts  $\lambda_i$ 's of a partition  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_r)$ .

$$\text{Then clearly } w_\lambda = \frac{r!}{w_1! w_2! \dots w_n!}$$

$$\text{For instance } w_{(2,2,1)} = \frac{3!}{1!2!} = 3$$

#### 4.2. Theorem

$$n! = c_n + d_n = \sum_{\lambda \vdash n} \left( \sum_{\lambda_i \in \lambda} c_{\lambda_i} \right) = \sum_{\lambda \vdash n} w_\lambda \left( \sum_{\lambda_i \in \lambda} c_{\lambda_i} \right)$$

where  $c_n$  and  $d_n$  denote respectively the set of connected  $G_\pi$ s and disconnected  $G_\pi$ s as  $\pi$  runs through  $S_n$ .

#### 5. Direct Permutation Graphs.

We now introduce our new concept of Direct Permutation Graphs.

##### 5.1 Definition:

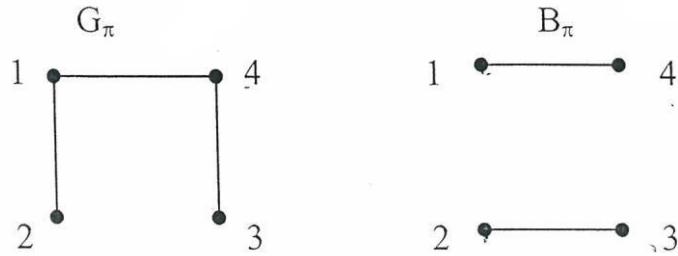
The direct permutation graph  $B_\pi = (V, E)$  for any permutation  $\pi$  has  $V = \{1, 2, \dots, n\}$  and  $ij \in E$  if and only if  $i + j = \pi(i) + \pi(j)$ . Since the definition depends directly on the permutations we call the graph the Direct Permutation graph which should be the forerunner to the permutation graph in [1]. we remark that the original definition in [1] involves the inverse permutation in some form where as our definition involves the permutations directly and hence the name.

##### 5.2. Remark:

The two graphs  $G_\pi$  and  $B_\pi$  are distinct in general.

Take  $n = 4$  for example and  $\pi = 2413$ .

Then  $G_\pi$  and  $B_\pi$  are drawn below.



### 5.3. Proposition:

If  $n > 2$ ,  $B_\pi$  is complete if and only if  $\pi = \text{identity}$ .

#### Proof:

First assume that  $\pi = \text{identity}$ .

Then for any pair of vertices  $i$  and  $j$ ,  $i+j = \pi(i) + \pi(j)$ . Hence  $ij$  is an edge for all  $i \neq j$  proving  $B_\pi$  is complete.

For the converse, assume  $B_\pi$  is complete and let that  $\pi \neq \text{identity}$

#### Case 1 :

In the unique cycle decomposition of  $\pi$ , assume that there is atleast one cycle  $(i_1, i_2, \dots, i_r)$ ,  $r \geq 3$ . Since  $B_\pi$  is complete,  $i_1 i_2 \in E$ . Hence  $i_1 + i_2 = \pi(i_1) + \pi(i_2)$ . But Since  $\pi(i_1) = i_2$  and  $\pi(i_2) = i_3$ , the above gives  $i_1 + i_2 = i_2 + i_3$ , forcing  $i_1 = i_3$ , not correct since  $r > 2$ .

#### Case 2 :

$\pi$  is a disjoint product of transpositions. Since disjoint transpositions commute, we can write  $\pi = (ij)(ik) \dots (rs)$ .

Clearly  $1 < j$  and we can take  $i < k$ . Since  $n > 2$ ,  $i, j, k$  are distinct.

Now  $1+i < j + i < j + k = \pi(1) + \pi(i)$ .

This clearly shows that  $1i$  is not an edge, contrary to the assumption that  $B_\pi$  is complete.

Therefore  $\pi$  must be the identity. Compare this result with the corresponding one for  $G_\pi$ .

Let  $l(\pi)$  denote the length of the smallest cycle in the (unique) cycle decomposition of  $\pi$ .

#### 5.4 Proposition:

Let  $n > 2$ . Then, if  $B_\pi$  is the null graph,  $l(\pi) > 2$ .

#### Proof:

Assume that  $B_\pi$  has no edges.

Suppose  $l(\pi) = 1$ . If there are two or more vertices  $i$  and  $j$  such that  $\pi(i) = i$  and  $\pi(j) = j$ , which clearly means  $i + j = \pi(i) + \pi(j)$ .

This shows that  $ij$  is an edge, violating our assumption that  $B_\pi$  is null. Next suppose there is a unique vertex  $i$  such that  $\pi(i) = i$ .

Let  $jk$  be a transposition in  $\pi$ .

Then  $j + k = k + j = \pi(j) + \pi(k)$ , proving that  $jk$  is an edge, again contrary to the assumption.

Thus  $l(\pi) \geq 2$ . If  $l(\pi) = 2$ , then  $\pi$  is a distinct product of transpositions, and as before, any transposition  $(jk)$  will give an edge, contradiction.

Hence  $l(\pi) > 2$ , proves the result.

### 5.5 Remark:

That  $l(\pi) > 2$  need not imply that  $B_\pi$  is null and has been seen already in our example given earlier :  $\pi = 2413 : 1 + 4 = \pi(1) + \pi(4)$ .

## 6. Connectedness properties of $B_\pi$

### 6.1 Proposition:

Suppose  $B_\pi$  is connected and  $\pi(i) = i$  for some  $i$ , then  $B_\pi$  must be complete.

### Proof:

Let  $B_\pi$  is connected. First, let  $\pi(i) = i$  for exactly one  $i$ . Now  $ij$  is an edge for some  $j \neq i$ , due to connectivity.

Then  $i + j = \pi(i) + \pi(j) = i + \pi(j)$  gives  $\pi(j) = j$ , contrary to the assumption. Next suppose exactly two vertices are fixed by  $\pi$ .

Then for  $k \neq i, j$ ,  $i + k = \pi(i) + \pi(k) = i + \pi(k)$ , forcing  $\pi(k) = k$ , contrary to the assumption.

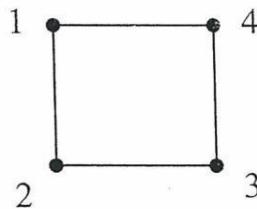
Next if exactly three vertices, say,  $i, j, k$  are fixed by  $\pi$ , then we get a triangle with vertices  $i, j$  and  $k$  in  $B_\pi$ , which is clearly a connected component, destroying connectivity of  $B_\pi$ . We continue this procedure until we arrive at  $\pi(i) = i$  for every  $i$ , which means that  $\pi$  is the identity.

By proposition (5.3),  $B_\pi$  is complete.

Before seeing further connectivity properties, let us see the following simple examples.

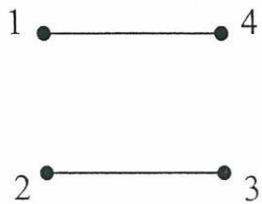
### 6.2 Some examples. Assume $n = 4$ .

i)  $\pi = (12)(34)$ .  $B_\pi$  is the following graph.



$B_\pi$  is connected

ii)  $\pi = (14)(23)$ , the graph  $B_\pi$  is drawn below, which is disconnected.



$B_\pi$  is disconnected

The occurrence of 4 in the transposition is not accidental. We have the following powerful result.

### 6.3 Theorem

Suppose  $\pi$  is a product of  $m$  transpositions where  $m > 2$ . Then, if  $(1 m)$  is a part of  $\pi$ , then  $B_\pi$  is disconnected.

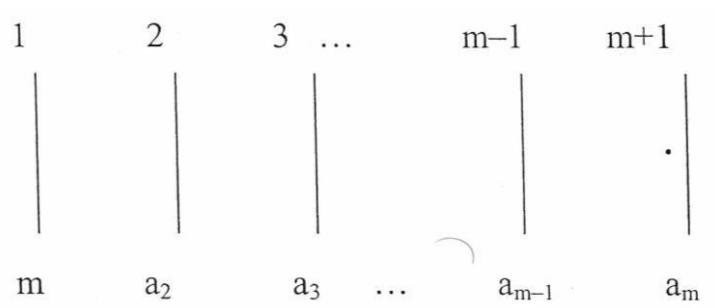
#### Proof:

Let  $B_\pi$  be connected.

Write  $n = 2m$  and take  $(1m)$  as the first transposition occurring in  $\pi$ .

We can write  $\pi = (1a_1)(2a_2)(3a_3)\dots(m+1, a_m)$  (taking  $a_1 = m$ ).

Then we get the following edges in  $B_\pi$ :



Clearly  $a_i \geq m+2$  for all  $i = 2, 3, \dots, m$ .

Without loss of generality, we can assume that 1 is adjacent to some vertex  $v_j \neq m$  (due to connectivity) we prove that  $v_j$  cannot be any vertex in the top row. In fact, suppose  $v_j = j$  for some  $j$  such that  $2 \leq j \leq m+1$  ( $v_j \neq m$ ).

Then  $1 + v_j = \pi(1) + \pi(V_j) = m + w_j$  with  $w_j =$  one of the  $a_j$ 's and is hence  $\geq m+2$ .

This is clearly not possible (as  $1 < m$  and  $v_j < w_j$ ). Hence 1 is adjacent to some  $a_j$  in the bottom row.

Then by adjacency condition,  $1 + a_j = m+j$  (1) Also  $m+1$  must be adjacent to some other  $a_t$ , due to connectivity. Hence  $m+1 + a_t = a_m + t$  (2) Already we have the following inequalities:  $a_j > m+1$  for all  $i$  and  $a_m > a_t$

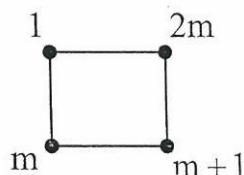
### Case 1:

The bottom row numbers strictly increase from  $m$  to  $a_m$ . We then claim that 1 and  $m$  are not adjacent to any other vertex, that is, the edge  $lm$  is isolated, breaking the connectivity assumption.

Let  $m = a_1 < a_2 < \dots < a_j < \dots < a_t < \dots < a_m$

This means that the last entry in the top row is  $m+1$  and the last entry,  $a_m$  in the bottom row is  $2m$ .

Hence  $1+2m = m + m + 1 = \pi(1) + \pi(2m)$ , meaning that 1 and  $2m$  are adjacent. Also by the same argument,  $m$  is adjacent to  $m+1$ . Hence we get the following subgraph in  $B_\pi$ .

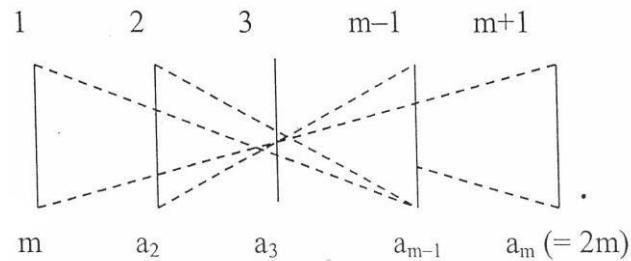


To ensure connectivity, 1 (and hence  $m$ ) must also be adjacent to some other vertex (since  $m > 2$ ):

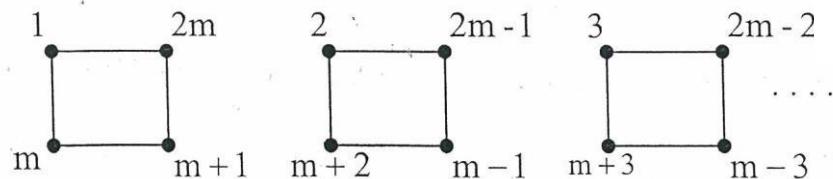
1 to a bottom row vertex  $m+y$  and  $m$  to the corresponding top row vertex  $y$ . But since the bottom row vertices steadily increase,

$$1 + m + y = \pi(1) + \pi(m + y) = m + y$$

which is absurd. Hence in this case  $B_\pi$  must be disconnected. The final graph  $B_\pi$  looks like the following figure



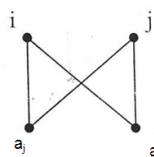
Redrawing, we get  $B_\pi$  as



Clearly  $B_\pi$  is disconnected with several components, contrary to our original assumption that  $B_\pi$  is connected.

**Case 2 :**

There exist entries  $a_i, a_j$  with bottom row and  $i, j$  in the top row such that  $a_i > a_j$ ,  $i < j$ . In this case we get a component in  $B_\pi$  of the type



In the case  $j+a_i = a_j+i$  and  $i+a_j = a_i+j$  (in which there cannot be  $k$  different from  $i, j$  such that  $\pi(k) = a_k = a_i$  or  $a_j$ ) otherwise we will simply have disconnected edges  $(i, a_j)$  and  $(j, a_i)$  only

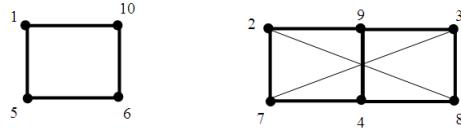
After renumbering (if necessary) we can write  $B_\pi$  as



which is clearly disconnected contradicting the original assumption. Thus we have

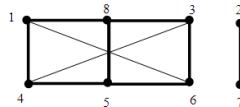
proved finally that  $B_\pi$  **must be disconnected**.

As an example we take  $n = 10$  and  $\pi = (15)(27)(38)(49)(6 10)$  Then  $B_\pi$  is the following graph.



The above is a 'degenerate' case of our argument in case 1, but still  $B_\pi$  is disconnected. Similar degenerate case with single isolated edges can also occur.

As another example take  $n = 8$  and  $\pi = (14)(27)(36)(58)$



$B_\pi$  is another degenerate case, but is disconnected nevertheless.

## 7. Number of connected components of $B_\pi$

We shall give an algorithm to work out the number of connected components of  $B_\pi$ , through partitions.

### 7.1 Definition

If we write  $n = n_1 + n_2 + \dots + n_r$  with  $n_1 \geq n_2 \geq \dots \geq n_r > 0$ , then we say  $\lambda = (n_1, n_2, \dots, n_r)$  is a partition of  $n$  and we write this as  $\lambda \vdash n$ .

If  $\mu = (a_1, a_2, \dots, a_{n_1}) (a_{n_1+1}, \dots, a_{n_2}) (a_{n_2+1}, \dots, a_{n_r})$  is the unique disjoint cycle decomposition of  $\pi$ , then the partition  $n_1 + n_2 + \dots + n_r = n$  corresponds to  $\mu$ .

The number of connected components of the graph  $B_\pi$  is given by the following interesting algorithm.

Let  $\pi$  denote the standard partition  $\lambda = (1, 2, \dots, n_1)(n_1 + 1, \dots, n_2)(n_2 + 1, \dots, n_3) \dots (n_{r-1} + 1, \dots, n_r)$  We simply denote this as  $\lambda = (n_1, n_2, \dots, n_r)$ ,  $\sum n_j = n$ .

### 7.2. Theorem :

The number of connected components of  $B_\pi$  is exactly equal to the number  $a+1$ , where  $a$  is the number of components arising from the adjacencies of vertices in the top rows of  $\lambda$  in the young diagram of  $\lambda$  such that  $l(\lambda_j) \geq 2$ .

**Proof:**

Let  $a$  denote the number of components arising out of these parts. Let the Young diagram corresponding to  $\lambda$  be as given below.

1	2	3			...	$n_1$
$n_1+1$	...		...	$n_2$		
...						
...		...				
	...	$n_k$				
$n_{k+1}$						
:						
$n_k$						

Suppose  $k$  of the top rows have length  $\geq 2$ .

These  $k$  rows will account for  $n_1+n_2+\dots+n_k$  vertices. We can draw the edges among these vertices according to our rule :  $ij$  is an edge if and only if  $i + j = \pi(i) + \pi(j)$ .

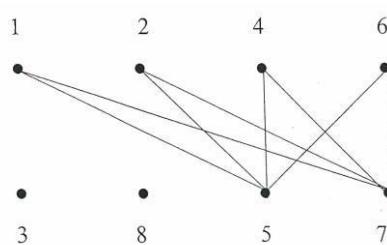
Then the rows  $k+1, \dots, r$  have just one vertex in each row.

Let  $b$  denote the number of components arising out of adjacencies between these vertices. This just means that whatever  $k$ , these isolated vertices will form one more connected component, i.e.,  $b = 1$ .

Hence the total number of connected components equals  $a+1$ , which proves our theorem.

**7.4 Example**

$$\pi = (123)(45)(67)(8)$$



The number of components =  $2 + 1 = 3$

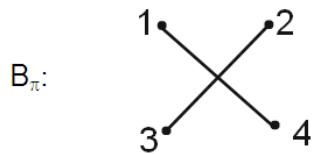
**7.5 Remark**

The above arguments open the gateway to character theory of the symmetric group  $S_n$ . It is well known that the number of (complex) irreducible characters = number of conjugacy classes = number of partitions of  $n$ .

The above algorithm therefore would have established a nice connection

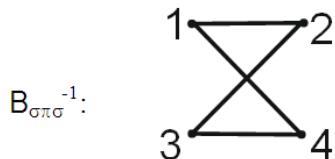
between the class of irreducible characters of  $S_n$  and the class of Direct Permutation Graphs corresponding to the standard partition  $n$ . But such a neat connection is not so easy to obtain as yet, for the simple reason that  $B_\pi$  is not invariant under conjugacy in general. In other words,  $\pi, \sigma \in S_n$  then  $B_\pi$  and  $B_{\sigma^{-1}\pi\sigma}$  need not be the same. This can be easily seen by the following example

$$\pi = (14)(23)$$



$$\sigma = (1234)$$

$$\sigma\pi\sigma^{-1} = (12)(34)$$



### Conclusion :

The above difficulty may be circumvented by a ‘trick’ which we found was more or less the one used by R.C.Orellana in her recent work on ‘Centralizer Algebras and Kronecker Products’ (Conference on Non-Commutative Rings and Representation Theory, Pondicherry, India – 2010) work is in progress.

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- [1] Koh and Ree , Connected Permutation Graphs , Discrete Mathematics , 307 (2007) , 2628 – 2635
- [2] R.C.Orellana,‘Centralizer Algebras and Kronecker Products’ (Conference on Non-Commutative Rings and Representation Theory, Pondicherry, India – 2010)